ASM-19838 - Quality Assurance - Design

Procedure Year: 2023

Procedure Rating: Highly Satisfactory

Procedure Status: Approved

Procedure Name: ASM-19838

Procedure Department: HQ - BPPS-VFs Hub

Procedure Type: Design

Record Owner: Maria Lukina Lebedeva

Created by: Maria Lukina Lebedeva,3/4/2026, 11:01 PM

Last Modified by: Gabriel Jaramillo,3/5/2026, 4:46 AM

Portofolio/Project Type: Project

Initiating Portfolio/Project: 01001935

RELATED PORTFOLIOS/PROJECTS (79)

NAME	PORTOFOLIO/PROJECT NAME	DEPARTMENT	STATUS	ATLAS PROJECT NUMBER	START DATE	END DATE
01001935	PIMS-6731 Global Biodiversity Finance Programme	HQ - BPPS-VFs Hub	On Going		2/1/2024	3/31/2028
01002476	Namibia PIMS 9898 Biodiversity Finance Plan	CO - Namibia - Windhoek	On Going		3/6/2024	3/5/2028
01002540	PIMS-9864 Biodiversity Finance Plan Côte d'Ivoire	CO - Cote D'Ivoire - Abidjan	On Going		5/1/2024	12/31/2027
01002545	PIMS-9844 Biodiversity Finance Plan - Algeria	CO - Algeria - Algiers	On Going		3/6/2024	3/6/2028
01002559	PIMS-9853 Biodiversity Finance Plan Bolivia	CO - Bolivia - La Paz	On Going		3/6/2024	3/6/2028
01002575	PIMS-9849 Biodiversity Finance Plan Bahrain	CO - Bahrain - Manama	On Going		1/1/2024	12/31/2027
01002751	PIMS-9886 Biodiversity Finance Plan-Lesotho	CO - Lesotho - Maseru	On Going		1/1/2024	1/1/2027
01002804	PIMS-9933 Biodiversity Finance Plan Zimbabwe	CO - Zimbabwe - Harare	On Going		7/1/2024	12/31/2027
01002823	PIMS-9884 Biodiversity Finance Plan Kiribati, Marshall Islands, Micronesia, Nauru, Palau, Solomon Islands, Tonga, Tuvalu, Vanuatu	CO - Pacific Ofc - Fiji	On Going		3/6/2024	3/6/2028
01001372	PIMS-9919 Biodiversity Finance Plan Suriname	CO - Suriname - Cty Pgmm	On Going		3/6/2024	2/28/2028
01001822	PIMS-9847 Biodiversity Finance Plan Armenia	CO - Armenia - Yerevan	On Going		12/1/2023	11/30/2027
01001825	PIMS-9921 Biodiversity Finance Plan Timor-Leste	CO - Timor-Leste	On Going		3/6/2024	2/28/2028
01001833	PIMS-9856 Biodiversity Finance Plan Burundi	CO - Burundi - Bujumbura	On Going		1/11/2024	12/31/2027
01001835	PIMS-9913 Global Programme on National Biodiversity	CO - Sierra Leone - Freetown	On Going		3/6/2024	3/6/2028

RELATED PORTFOLIOS/PROJECTS (79)

NAME	PORTOFOLIO/PROJECT NAME	DEPARTMENT	STATUS	ATLAS PROJECT NUMBER	START DATE	END DATE
	Finance Plans umbrella programme					
01001870	PIMS-9882 Biodiversity Finance Plan Jordan	CO - Jordan - Amman	On Going		1/17/2024	1/17/2028
01001879	PIMS-9896 Biodiversity Finance Plan Montenegro	CO - Montenegro - Podjorica	On Going		3/6/2024	2/28/2028
01001882	PIMS-9912 Biodiversity Finance Plan Serbia	CO - Serbia - Belgrade	On Going		12/1/2023	11/30/2027
01001893	PIMS-9909 Biodiversity Finance Plan Samoa	CO - Samoa - Apia	On Going		3/6/2024	3/6/2027
01001896	PIMS-9890 Umbrela Programme to Support Development of Biodiversity Finance Plans - Mali	CO - Mali - Bamako	On Going		3/6/2024	11/30/2027
01001908	PIMS-9843 Biodiversity Finance Plan Albania	CO - Albania - Tirana	On Going		12/1/2023	11/30/2027
01001933	PIMS-9903 Biodiversity Finance Plan Pakistan	CO - Pakistan - Islamabad	On Going		3/6/2024	3/6/2028
01001947	PIMS-9873 Biodiversity Finance Plan Gambia	CO - Gambia - Banjul	On Going		3/4/2024	3/6/2028
01001944	PIMS-9858 Biodiversity Finance Plan Cameroon	CO - Cameroon - Yaounde	On Going		1/1/2024	12/31/2027
01001942	PIMS-9927 Biodiversity Finance Plan Turkmenistan	CO - Turkmenistan - Ashgabad	On Going		3/4/2024	2/28/2028
01001951	PIMS-9887 Biodiversity Finance Plan Liberia	CO - Liberia - Monrovia	On Going		1/1/2024	12/31/2027
01001961	PIMS-9902 National Biodiversity Finance Plans - North Macedonia	CO - Republic of North Macedonia	On Going		9/2/2024	9/2/2027
01001994	PIMS-9925 Biodiversity Finance Plan Tunisia	CO - Tunisia - Tunis	On Going		3/6/2024	3/6/2028
01001998	PIMS-9854 Biodiversity Finance Plan Bosnia and Herzegovina	CO - Bosnia & Herzegovina-Sarajevo	On Going		3/6/2024	3/5/2028
01002011	PIMS-9876 Biodiversity Finance Plan of Guinea	CO - Guinea - Conakry	On Going		1/31/2024	12/31/2027
01002019	PIMS-9907 Biodiversity Finance Plan Paraguay	CO - Paraguay - Asuncion	On Going		3/6/2024	11/30/2027
01002030	PIMS-9874 Biodiversity Finance Plan Ghana	CO - Ghana - Accra	On Going		3/6/2024	12/31/2027
01002025	PIMS-9848 Biodiversity Finance Plan (Azerbaijan)	CO - Azerbaijan - Baku	Financially Closed		3/1/2024	11/30/2027

RELATED PORTFOLIOS/PROJECTS (79)						
NAME	PORTOFOLIO/PROJECT NAME	DEPARTMENT	STATUS	ATLAS PROJECT NUMBER	START DATE	END DATE
01002041	PIMS-9868 Biodiversity Finance Plan Dominican Republic	CO - Dominican Republic	On Going		3/6/2024	2/28/2028
01002062	PIMS-9878 BIODIVERSITY FINANCE PLAN HAITI	CO - Haiti - Port-au-Prince	On Going		3/4/2024	2/28/2028
01002051	PIMS-9871 Biodiversity Finance Plan Eswatini	CO - Eswatini - Mbabane	On Going		3/1/2024	12/31/2027
01002068	PIMS-9866 Biodiversity Finance Plan Djibouti	CO - Djibouti - Djibouti	On Going		3/15/2024	11/30/2027
01002065	PIMS-9883 Biodiversity Finance Plan Kenya	CO - Kenya - Nairobi	On Going		3/6/2024	2/28/2028
01002086	PIMS-9926 Biodiversity Finance Plan Turkiye	CO - Turkey - Ankara	On Going		3/6/2024	3/5/2028
01002088	PIMS-9920 Umbrella Programme to Support Development of Biodiversity Finance Plans	CO - Tajikstan - Dushanbe	On Going		12/1/2023	11/30/2027
01002090	PIMS-9860 Biodiversity Finance Plan Chad	CO - Chad - N'Djamena	On Going		3/4/2024	2/28/2028
01002093	PIMS-9852 Biodiversity Finance Plan Benin	CO - Benin - Cotonou	On Going		3/6/2024	12/31/2027
01002096	PIMS-9859 Biodiversity Finance Plan Central African Republic	CO - Central African Republic	On Going		3/6/2024	3/6/2028
01002103	PIMS-9862 Biodiversity Finance Plan Rép. du Congo	CO - Congo - Brazzaville	On Going		3/6/2024	12/31/2027
01002105	PIMS-9895 Biodiversity Finance Plan Moldova	CO - Moldova Republic	On Going		3/6/2024	2/28/2028
01002119	PIMS-9892 Biodiversity Finance Plan Mauritania	CO - Mauritania - Nouakchott	On Going		2/22/2024	11/30/2027
01002111	PIMS-9901 Biodiversity Finance Plan Niue	CO - Niue - Cty Pgmm	On Going		3/6/2024	3/5/2027
01002112	PIMS-9863 Biodiversity Finance Plan Cook Islands	CO - Cooks Islands - Cty Pgmm	On Going		3/6/2024	3/5/2027
01002128	PNG-01002128 | PIMS-9906 Global Biodiversity Finance Programme- Papua New Guinea	CO - Papua New Guinea- Port Moresby	On Going		3/6/2024	2/28/2028
01002137	PIMS-9931 Biodiversity Finance Plan Venezuela	CO - Venezuela - Caracas	On Going		3/6/2024	3/6/2028
01002129	PIMS-9918 Biodiversity Finance Plan [Sudan]	CO - Sudan - Khartoum	On Going		1/1/2024	12/31/2027

RELATED PORTFOLIOS/PROJECTS (79)

NAME	PORTOFOLIO/PROJECT NAME	DEPARTMENT	STATUS	ATLAS PROJECT NUMBER	START DATE	END DATE
01002142	PIMS-9885 Biodiversity Finance Plan Lao PDR	CO - Lao - Vientiane	On Going		3/6/2024	2/28/2028
01002144	PIMS-9910 Biodiversity Finance Plan SÃO TOMÉ AND PRINCIPE	CO - Sao Tome & Principe - Sao Tome	On Going		3/6/2024	11/30/2027
01002160	PIMS-9877 Programme Biodiversity Finance Plans Guinea-Bissau	CO - Guinea-Bissau	On Going		4/1/2024	5/1/2028
01002167	PIMS-9915 Biodiversity Finance Plan-Somalia	CO - Somalia - Mogadiscio	On Going		3/4/2024	2/28/2028
01002173	PIMS-9881 Biodiversity Finance Plan Jamaica UNDP-JAM-01002173	CO - Jamaica - Kingston	On Going		3/6/2024	3/6/2028
01002188	URU/24/G32 PIMS-9929 Biodiversity Finance Plan Uruguay	CO - Uruguay - Montevideo	On Going		3/6/2024	3/6/2028
01002191	PIMS-9900 Biodiversity Finance Plan Nigeria	CO - Nigeria - Abuja	On Going		1/1/2024	12/31/2027
01002211	PIMS-9879 Biodiversity Finance Plan Honduras	CO - Honduras - Tegucigalpa	On Going		3/6/2024	3/5/2028
01002222	PIMS-9922 Biodiversity Finance Plan Togo	CO - Togo - Lome	On Going		3/6/2024	12/31/2027
01002223	PIMS-9865 Biodiversity Finance Plan Democratic Republic of Congo	CO - Dem. Rep. of the Congo	On Going		3/14/2024	2/28/2028
01002240	PIMS-9893 GLOBAL BIODIVERSITY FINANCE PROGRAMME | MAURITIUS	CO - Mauritius - Port Louis	On Going		3/4/2024	12/31/2028
01002260	PIMS-9850 Biodiversity Finance Plan Bangladesh	CO - Bangladesh - Dhaka	On Going		3/6/2024	3/6/2028
01002258	PIMS-9932 Biodiversity Finance Plan Yemen	CO - Republic of Yemen - Sana'a	On Going		3/6/2024	2/28/2028
01002316	PIMS-9870 Biodiversity Finance Plan Equatorial Guinea	CO - Equatorial Guinea - Malabo	On Going		3/6/2024	12/31/2027
01002307	PIMS-9905 Biodiversity Finance Plan Panama	CO - Panama - Panama City	On Going		3/6/2024	3/6/2028
01002323	PIMS-9897 Biodiversity Finance Plan Morocco	CO - Morocco - Rabat	On Going		4/1/2024	10/31/2028
01002332	PIMS-9889 Biodiversity Finance Plan Maldives	CO - Maldives - Male	On Going		3/6/2024	12/31/2028

RELATED PORTFOLIOS/PROJECTS (79)						
NAME	PORTOFOLIO/PROJECT NAME	DEPARTMENT	STATUS	ATLAS PROJECT NUMBER	START DATE	END DATE
01002326	PIMS-9869 Biodiversity Finance Plan El Salvador	CO - El Salvador - San Salvador	On Going		3/6/2024	3/6/2028
01002345	PIMS-9855 Biodiversity Finance Plan Burkina Faso	CO - Burkina Faso - Ouagadougou	On Going		3/6/2024	2/5/2028
01002353	PIMS-9888 Biodiversity Finance Plan Libya	CO - Libya - Tripoli	On Going		1/1/2024	3/31/2027
01002373	Programme-cadre de soutien à l'élaboration de plans de financement en faveur de la biodiversité	CO - Senegal - Dakar	On Going		3/6/2024	12/31/2027
01002370	PIMS-9916 Biodiversity Finance Plan South Sudan	CO - Sudan - South Sudan	On Going		1/1/2024	12/31/2027
01002409	PIMS-9857 Biodiversity Finance Plan Cabo Verde	CO - Cape Verde - Praia	On Going		3/4/2024	2/28/2028
01002446	PIMS-9872 Biodiversity Finance Plan Ethiopia	CO - Ethiopia - Addis Ababa	On Going		3/6/2024	3/5/2028
01003078	PIMS-9924 Bio-Diversity Finance Plan Trinidad & Tobago (TTO)	CO - Trinidad & Tobago-Port ofSpain	On Going		3/6/2024	3/6/2028
01003135	PIMS-9880 Biodiversity Finance Plan for Iraq	CO - Iraq - Baghdad	On Going		3/4/2024	2/28/2028
01003162	PIMS-9861-Project Biodiversity Finance Plan Comoros BIOFIN	CO - Comoros - Moroni	On Going		1/23/2024	12/31/2027
01003199	PIMS-9846 Biodiversity Finance Plan Antigua & Barbuda, Barbados, Dominica, Grenada, St. Kitts & Nevis, Saint Lucia	CO - Barbados - Bridgetown	On Going		3/6/2024	3/6/2028
01003227	PIMS-9845 Global Biodiversity Finance Programme in Angola	CO - Angola - Luanda	On Going		3/6/2024	3/6/2028

RELATED SESPS (1)		
PARENT PROCEDURE	PROCEDURE TYPE	PROCEDURE YEAR
ASM-16546	SESP (Social and Environmental Screening Procedure)	2024

Approval Information

Approval Date: Thu Mar 05 00:00:00 GMT 2026

Approved By: Gabriel Jaramillo <gabriel.jaramillo@undp.org>

APPROVAL HISTORY			
STEP NAME	DATE	STATUS	ASSIGNED TO
Submitted for Approval	2026-03-05 04:46:47	Approved	Gabriel Jaramillo
Approval Request Submitted	2026-03-04 23:43:09	Started	Maria Lukina Lebedeva

Category: 1. Social and Environmental

Risk Significance: Moderate

Risk Significance: Moderate

Sub-Category: 1.2. Gender equality and women's empowerment

Event:

Risk Event 3: The project may reproduce existing gender discriminations against women and/or impose limitations on women's ability to participate in or benefit form managing natural resources

Causes:

Inadequate gender analysis and weak integration of gender-specific activities, indicators and targets into the BFPs.

Gender analysis and mainstreaming does not take into proper account the the role of women in accessing and using environmental goods and services or managing natural resources; or the differentiated impact on them of biodiversity finance mechanisms

Gender mainstreaming strategies may not include adequate measures to redress entrenched disparities affecting the involvement of women in decision-making that affects them or might not harness women's knowledge effectively

Impact:

Biodiversity Finance Plans do not improve the situation of women and girls and may worsen it.

Women are unable to participate fully or equitably in design and review of BFPs

RELATED TREATMENTS (3)

ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMENTS
Risk treatment 1 (Assessment): Assessments under Components 1, 2 and 3 should be informed by a rapid nationally customized gender analysis which should include, inter alia differential access to and control over natural resources and access to financial resources for doing this, gender-specific knowledge, interests and perceptions regarding biodiversity protection and its role in supporting sustainable development; women's roles in decision-making relating to biodiversity use and biodiversity finance and management, and national gender policy and priorities Responsibility: GCP Gender Expert Timeplan: First year of implementation Expected Effect: nationally-customized gender issues are identified, analysed and understood to inform the development of a gender action plan under the Biodiversity Finance Plans.		2/28/2028	Ongoing	

RELATED TREATMENTS (3)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMENTS
Risk Treatment 2 (Management): The gender analysis will inform the development of a Gender Mainstreaming Strategy as part of each Biodiversity Finance Plan Responsibility: PMU Gender Expert and CO Gender Officers Timeplan: First year of project implementation Expected Effects: Gender effectively mainstreamed into all aspects of BFPs.		2/28/2028	Ongoing	
Risk Treatment 3 (gender mainstreaming support): The global coordination project under Component 5 will provide support to country teams on gender mainstreaming via the development of gender guidance materials, focused webinars and workshop presentations, delivered by a Gender and Safeguards Expert. Gender mainstreaming should start early in the national consultation processes. Responsiblility: Gender Expert (in PMU) Timeplan: Throughout implementation Expected effects: Country teams are well-informed and able to ensure that gender is effectively mainstreamed into all aspects of consultation and design and implementation of the BFPs		2/28/2028	Ongoing	

Category: 1. Social and Environmental
Risk Significance: Moderate

Risk Significance: Moderate

Sub-Category: 1.1. Human rights

Event:

Risk Event 1: Governments have limited capacity to give adequate consideration to rights, cultural preferences and norms of all stakeholders.

Causes:

Inadequate stakeholder analysis and limited capacity in national governments to ensure a whole-of-society approach and respect for human rights and cultural preferences of all stakeholder groups.

Impact:

Rightsholders are unable to claim their rights or express their views, with potential limitations on their ability to enjoy their human rights.

Lack of broad-based support Biodiversity Finance Plans and failure to deliver intended biodiversity/social

RELATED TREATMENTS (3)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMENTS
Risk Treatment 3 (design of interventions): Under Component 5 ,design and delivery of knowledge products and awareness-raising materials, webinars, e-learning tools and peer-to-peer learning opportunities must take cultural preferences, traditional knowledge and language proficiencies into account. Responsibility: PMU member leading on KM, capacity development and training (or Project Manager) Timeframe: aligned with design and delivery of specific knowledge products, trainings and awareness raising tools Expected effect: all stakeholders have equitable opportunities to engage, learn and benefit and are not excluded due to language or other cultural issues.		2/28/2028	Ongoing	
Risk Treatment 1 (Assessment): Applying approaches embedded in the BIOFIN methodology, the Global Support team PMU will provide capacity support to participating governments to ensure a whole-of society approach in conducting assessments, setting up policy dialogues and multistakeholder roundtables and developing new biodiversity finance plans. A nationally-customized stakeholder analysis will be carried out in each participating country and should pay particular attention to capturing language and other cultural preferences and identifying the rights and differing development objectives of all identified groups (including IP&LC and vulnerable or marginalized groups, where these are present). Responsibility: PMU/GCP Project Manager Timeplan for completion: First year of project implementation Expected effect: All stakeholder groups are able to participate fully and meaningfully and without prejudice in development and review of the		2/28/2028	Ongoing	

RELATED TREATMENTS (3)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMENTS
biodiversity finance plans; project knowledge products, trainings and technical assistance interventions (under Component 5) are culturally-appropriate and take into account different development priorities, ways of learning and traditional knowledge of stakeholders.				
Risk Treatment 2 (Management): Each country team supported by the global project will develop a simplified National Stakeholder Engagement Plan. The Coordination project will provide guidance on stakeholder engagement to Parties (through the global technical support facility). Responsibility: Relevant staff member in PMU Timeplan: First year of implementation Expected effect: socially-inclusive, rights-based and culturally-sensitive stakeholder involvement is fully embedded in the process of developing BFPs, setting targets, and designing specific activities. Where indigenous communities and other social groupings with specific needs, interests and development priorities are identified as stakeholders, consultation processes will be appropriately adapted (applying FPIC if appropriate).		2/28/2028	Ongoing	

Risk Significance: Moderate

Sub-Category: 1.1. Human rights

Event:

Risk event 2:

Inequitable or discriminatory impacts (including restrictions on access to resources), particularly for those living in poverty or other marginalized or vulnerable groups.

Causes:

Reviews and assessments under Components 1 and 2, and Biodiversity Finance Plans under Component 3, may not have taken potential downstream social and environmental risks and impacts (during implementation) into account.

Impact:

Communities suffer access restrictions, economic displacement or other discriminatory impacts

The rights, interests, traditions and practices of Indigenous Peoples and other Local communities are compromised.

RELATED TREATMENTS (3)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMENTS
Risk Treatment 1 (Assessment): Under the BFP GCP, Activity 4.1.3 makes provision for the development of risk management plans or frameworks to avoid, reduce or mitigate potential environmental and social risks and impacts that may arise during implementation of the NBSAP. These should include, but may not be limited to: 1. Screening activities for potential social and environmental issues that could be triggered when the NBSAP is implemented, with attention paid to: (i) SES risks; (ii) enhanced social/environmental performance/outcomes Focus on: • Human Rights – capacity of duty-bearers and rightsholders • Social Inclusion and sustainability – especially vulnerable and marginalized stakeholders, including youth, elderly, IP&LCs • Gender Mainstreaming • Environmental Health and sustainability • Access to resources and land • Responsibility/Accountability and Transparency , monitoring, reporting and review) and Private Sector Due Diligence National regulatory instruments and international standards should be applied in addressing: • Social inclusion – have all relevant stakeholders been identified and are adequate provisions/strategies been developed to ensure their full participation in BFP planning, implementation, monitoring, reporting and review (specific attention should be paid to requirements for FPIC where Indigenous Peoples or other IP&LC are affected) • National legislative/policy/regulatory gaps and needs: do the financing activities trigger existing national regulatory requirements; are there any gaps in the existing regulatory/legislative/policy		2/28/2028	Ongoing	

RELATED TREATMENTS (3)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMENTS
frameworks for managing potential adverse impacts associated with implementation of the Finance Plans • Compliance with international requirements/multilateral agreements or protocols and high-level principles for ensuring the integrity of financing mechanisms (e.g. those governing carbon markets or biodiversity credits, PES, etc) • Institutional capacity gaps: have institutional capacities for avoiding and mitigating adverse social and environmental impacts these been identified and what strategies will be required to address them • Potential socio-economic tradeoffs associated with the financing solutions Responsibility: Project Manager to make sure Country Office hires a consultant to undertake the screening and assessment; guidance on TOR provided by PMU Timeplan: In parallel with drafting of Finance Plans Expected Effect: the Finance Plans are risk-informed and risk-prepared, with risk avoidance measures integrated into design				
Risk Treatment 2 (Management): Based on the assessments: • Identify a simple set of steps and procedures to be followed to develop measures to avoid, reduce, mitigate/manage or offset potential adverse impacts - drawing mainly on national regulatory instruments and/or tools applied by designated implementing partners • Prepare an Action Matrix (or similar) to inform the design of the Biodiversity Finance Plans of the NBSAP and form part of their M&E plans) that: identifies priority areas for action (these can include short, medium and longer term actions and outcomes), with roles and responsibilities defined. Responsibility: Project Manager - Contracted expert to develop Action Matrix; Country Office to oversee Timeplan: In parallel with drafting of BFPs Expected Effect: Each BFP includes nationally-appropriate steps, procedures and measures for avoiding		2/28/2028	Ongoing	

RELATED TREATMENTS (3)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMENTS
and/or mitigating downstream impacts during implementation				
Risk Treatment 3 (capacity strengthening and awareness): Under Component 5, the global project team will provide guidance to participating countries on: (i) how to undertake assessments and the kinds of awareness raising materials that can be developed (e.g. on potential environmental and social impacts that could result from financing mechanisms), and (ii) mainstreaming of social and environmental sustainability aspects within the dialogue processes for BFP development, where possible; (iii) training on setting up of country level Grievance Redress and Accountability Mechanisms (including private sector due diligence screening) Responsibility: Global PMU Timeplan: throughout project implementation Expected Effect: Social and environmental sustainability effectively mainstreamed into Biodiversity Finance Plans, and implementers are equipped to identify, monitor and address SES risks during implementation.		2/28/2028	Ongoing	

Category: 1. Social and Environmental

Risk Significance: Moderate

Risk Significance: Moderate

Sub-Category: 1.3. Grievances (accountability to stakeholders)

Event:

Risk event 4:
Exclusion of some stakeholders - especially those that are vulnerable or marginalized - from decision making that affects them, potentially leading to grievances

Causes:

Weak stakeholder analysis and/ or engagement strategies may lead to some stakeholder groups being excluded from planning processes or knowledge exchange platforms.

Impact:

Adverse impacts on the development priority of marginalised and vulnerable groups.

Lack of support for biodiversity finance plans and mechanisms, failure to deliver on intended outcomes

RELATED TREATMENTS (2)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMENTS
Risk Treatment 1 (Assessment and Management): A nationally-customized stakeholder analysis and stakeholder engagement strategy will identify all stakeholders, including marginalized and vulnerable groups or other stakeholders with specific needs and interests - different land-user collectives, Indigenous People and other IP&LC, those living in poverty and other vulnerable and/or marginalized groups. Responsibility: Timeplan: First year of implementation Expected Effect: all stakeholder groups are identified and their needs, vulnerabilities, interests, and preferences are understood and inform customized engagement processes; socially-inclusive stakeholder involvement is fully embedded in the process of developing the BFPs. Where indigenous communities and other social groupings with specific needs, interests and development priorities are identified as stakeholders, consultation processes will be appropriately adapted (applying FPIC if appropriate).		2/28/2028	Ongoing	
Risk Treatment 2 (Accountability and Grievance Redress) : The Global Technical Support team will provide guidance to Parties on establishing a gender-sensitive and accessible GRM associated with the development of the BFPs. UNDP's Accountability Mechanism (www.undp.org/secu-srm) will also be available in relation to implementation of the Global Coordination Project. Responsibility: PMU Gender and Safeguards Officer/Project Manager; Country Office focal points Timeframe: GRM to be set up and socialized at start of project Expected effects: Stakeholders have a culturally appropriate, formal channel which has national legitimacy and		2/28/2028	Ongoing	

RELATED TREATMENTS (2)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMENTS
credibility through which to channel concerns and complaints about the BFP process				

QA Questionnaire:

Strategic	Status: Complete	Quality Rating: Highly Satisfactory

1. Does the project specify how it will contribute to higher level change through linkage to the programme's Theory of Change?

- (selected) 3: The project is clearly linked to the programme's theory of change. It has an explicit change pathway that explains how the project will contribute to outcome level change and why the project's strategy will likely lead to this change. This analysis is backed by credible evidence of what works effectively in this context and includes assumptions and risks.
- 2: The project is clearly linked to the programme's theory of change. It has a change pathway that explains how the project will contribute to outcome-level change and why the project strategy will likely lead to this change.
- 1: The project document may describe in generic terms how the project will contribute to development results, without an explicit link to the programme's theory of change.

*Note: Projects not contributing to a programme must have a project-specific Theory of Change. See alternative question under the information icon for these cases.

*Note: Risk management must be done for criteria with score of 1.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

The project contributes to higher level change as described in the Theory of Change Diagram.
ProDoc pag. 13 Theory of Change Diagram

2. Is the project aligned with the UNDP Strategic Plan?

- 3: The project responds to at least one of the development settings as specified in the Strategic Plan and adapts at least one Signature Solution. The project's RRF includes all the relevant SP output indicators. (all must be true)
- (selected) 2: The project responds to at least one of the development settings as specified in the Strategic Plan. The project's RRF includes at least one SP output indicator, if relevant. (both must be true)
- 1: The project responds to a partner's identified need, but this need falls outside of the UNDP Strategic Plan. Also select this option if none of the relevant SP indicators are included in the RRF.

*Note: Risk management must be done for criteria with score of 1.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

The project is aligned with the new UNDP’s strategic plan 2022-2055 for building resilience, governance and environment.

3. Is the project linked to the programme outputs? (i.e., UNSDCF/CPD, RPD or Strategic Plan IRRF for strategic interventions not part of a programme)

- (selected) YES
- NO

*Note: Project QA cannot be approved by Project QA Approver when the response is “No”.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Yes

Relevant	Status: Complete	Quality Rating: Highly Satisfactory

4. Does the project identify target groups , and particularly those marginalized, vulnerable and left further behind (LNOB)

- 3: The LNOB target groups are clearly specified, prioritising discriminated, and marginalized groups left furthest behind, identified through a rigorous process based on evidence.
- (selected) 2: The LNOB target groups are clearly specified, prioritizing groups left furthest behind.

1: The LNOB target groups are not clearly specified.

*Note: Risk management must be done for criteria with score of 1. Projects that build institutional capacity should still identify targeted groups to justify support.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

The project identified the priority groups. Countries are expected to involve a wide multi-sectoral group of stakeholders in the various stages of consultations and implementation

5. Have knowledge, good practices, and past lessons learned of UNDP and others informed the project design?

3: Knowledge and lessons learned backed by credible evidence from sources such as evaluation, corporate policies/strategies, and/or monitoring have been explicitly used, with appropriate referencing, to justify the approach used by the project.

2: The project design mentions knowledge and lessons learned backed by evidence/sources but have not been used to justify the approach selected.

1: There is little, or no mention of knowledge and lessons learned informing the project design. Any references made are anecdotal and not backed by evidence.

*Note: Risk management must be done for criteria with score of 1.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Yes, please see all the global initiatives listed on pages 9 and 10 of the ProDoc.

6. Does UNDP have a clear advantage to engage in the role envisioned by the project vis-à-vis national / regional / global partners and other actors?

3: An analysis has been conducted on the role of other partners in the area where the project intends to work, and credible evidence supports the proposed engagement of UNDP and partners through the project, including identification of potential funding partners. It is clear how results achieved by partners will complement the project's intended results and a communication strategy is in place to communicate results and raise visibility vis-à-vis key partners. Options for south-south and triangular cooperation have been considered, as appropriate. (all must be true)

2: Some analysis has been conducted on the role of other partners in the area where the project intends to work, and relatively limited evidence supports the proposed engagement of and division of labour between UNDP and partners through the project, with unclear funding and communications strategies or plans.

1: No clear analysis has been conducted on the role of other partners in the area that the project intends to work. There is risk that the project overlaps and/or does not coordinate with partners' interventions in this area. Options for south-south and triangular cooperation have not been considered, despite its potential relevance.

*Note: Risk management must be done for criteria with score of 1.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

The project has a strong partnership with BIOFIN, CBD, GEF and other partners to implement this project as a global intervention.

Principled	Status: Complete	Quality Rating: Highly Satisfactory

7. Does the project apply a human rights-based approach?

3: The project is guided by human rights and incorporates the principles of accountability, meaningful participation, and non-discrimination in the project's strategy. The project upholds the relevant international and national laws and standards. Any potential adverse impacts on enjoyment of human rights were rigorously identified and assessed as relevant, with appropriate mitigation and management measures incorporated into project design and budget. (all must be true)

2: The project is guided by human rights by prioritizing accountability, meaningful participation and non-discrimination. Potential adverse impacts on enjoyment of human rights were identified and assessed as relevant, and appropriate mitigation and management measures incorporated into the project design and budget. (both must be true)

1: No evidence that the project is guided by human rights. Limited or no evidence that potential adverse impacts on enjoyment of human rights were considered

*Note: Risk management must be done for criteria with score of 1.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

See SESP section Part A Q1 how project mainstreams the human rights approach, and SES Risk Screening Checklist Overarching Principle LNOB/Human Rights

8. Does the project use gender analysis in the project design?

- ○ 3: A participatory gender analysis has been conducted and results from this gender analysis inform the development challenge, strategy and expected results sections of the project document. Outputs and indicators of the results framework include explicit references to gender equality, and specific indicators measure and monitor results to ensure women are fully benefitting from the project. (all must be true)
- ◉ 2: A basic gender analysis has been carried out and results from this analysis are scattered (i.e., fragmented and not consistent) across the development challenge and strategy sections of the project document. The results framework may include some gender sensitive outputs and/or activities but gender inequalities are not consistently integrated across each output. (all must be true)
- ○ 1: The project design may or may not mention information and/or data on the differential impact of the project's development situation on gender relations, women and men, but the gender inequalities have not been clearly identified and reflected in the project document.

*Note: Risk management must be done for criteria with score of 1.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

The project includes a section on Gender Equality and Women's Empowerment. The project will ensure equal participation of men and women in all its activities (National Steering Committees, Project Boards, workshops, and other capacity and decision-making processes). Gender aspects are mainstreamed in the Theory of Change, project outputs, activities, and budget

9. Did the project support the resilience and sustainability of societies and/or ecosystems?

- ◉ 3: Credible evidence that the project addresses sustainability and resilience dimensions of development challenges, which are integrated in the project strategy and design. The project reflects the interconnections between the social, economic and environmental dimensions of sustainable development. Relevant shocks, hazards and adverse social and environmental impacts have been identified and rigorously assessed with appropriate management and mitigation measures incorporated into project design and budget. (all must be true)
- ○ 2: The project design integrates sustainability and resilience dimensions of development challenges. Relevant shocks, hazards and adverse social and environmental impacts have been identified and assessed, and relevant management and mitigation measures incorporated into project design and budget. (both must be true)
- ○ 1: Sustainability and resilience dimensions and impacts were not adequately considered.

*Note: Risk management must be done for criteria with score of 1.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

The objective of the project is to enable countries to to implement the post-2020 Global Biodiversity Framework. Risks, and their possible impacts have been identified and included in the SESP.

The project will focus on long term sustainable financing, based on all possible public and private, national, international, traditional, and innovative sources of funds. The individual country grants provide an opportunity for countries to start a national innovative and transformative process for biodiversity finance involving all relevant stakeholders such as Ministries of Finance and Environment, the private and finance sectors

10. Has the Social and Environmental Screening Procedure (SESP) been conducted to identify potential social and environmental impacts and risks? The SESP is not required for projects in which UNDP is Administrative Agent only and/or projects comprised solely of the preparation and dissemination of reports and communication materials; organization of events, workshops, or training; strengthening capacities of partners to participate in international negotiations and conferences; partnership coordination (including UN coordination) and management of networks; and global/regional projects with no country-level activities as well as Development Effectiveness projects and Institutional Effectiveness projects. [If yes, upload the completed checklist. If SESP is not required, Select all exemption criteria that apply.]

- ◉ Yes
- ○ No
- ○ SESP not required because project consists solely of (Select all exemption criteria that apply)

***Applicable only to option "SESP not required"**

- ☐ 1: Preparation and dissemination of reports, documents and communication materials
- ☐ 2: Organization of an event, workshop, training
- ☐ 3: Strengthening capacities of partners to participate in international negotiations and conferences
- ☐ 4: Partnership coordination (including UN coordination) and management of networks
- ☐ 5: Global/regional projects with no country level activities (e.g. knowledge management, inter-governmental processes)
- ☐ 6: UNDP acting as Administrative Agent
- ☐ 7: Development Effectiveness projects and Institutional Effectiveness projects

*Note: Project QA cannot be approved by Project QA Approver when the response is "No".

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Yes

Management & Monitoring | Status: Complete | Quality Rating: Highly Satisfactory

11. Does the project have a strong results framework?

- (selected) 3: The project's selection of outputs and activities are at an appropriate level. Outputs are accompanied by SMART, results-oriented indicators that measure the key expected development changes, each with credible data sources and populated baselines and targets, including gender sensitive, target group focused, sex-disaggregated indicators where appropriate. (all must be true)
- 2: The project's selection of outputs and activities are at an appropriate level. Outputs are accompanied by SMART, results-oriented indicators, but baselines, targets and data sources may not yet be fully specified. Some use of target group focused, sex-disaggregated indicators, as appropriate. (all must be true)
- 1: The project's selection of outputs and activities are not at an appropriate level; outputs are not accompanied by SMART, results-oriented indicators that measure the expected change and have not been populated with baselines and targets; data sources are not specified, and/or no gender sensitive, sex-disaggregation of indicators. (if any is true)

*Note: Risk management must be done for criteria with score of 1.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Yes, see Results Framework (Section V) in the ProDoc.

12. Is the project's governance mechanism clearly defined in the project document, including composition of the project board?

- (selected) 3: The project's governance mechanism is fully defined. Individuals have been specified for each position in the governance mechanism (especially all members of the project board.) Project Board members have agreed on their roles and responsibilities as specified in the terms of reference. The ToR of the project board has been attached to the project document. (all must be true)
- 2: The project's governance mechanism is defined; specific institutions are noted as holding key governance roles, but individuals may not have been specified yet. The project document lists the most important responsibilities of the project board, project director/manager and quality assurance roles. (all must be true)
- 1: The project's governance mechanism is loosely defined in the project document, only mentioning key roles that will need to be filled at a later date. No information on the responsibilities of key positions in the governance mechanism is provided.

*Note: Risk management must be done for criteria with score of 1.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

See ProDoc Section VII. Project Governance and Management

13. Have the project risks been identified using the risk assessment tools (Project Quality Assurance, Social and Environmental Screening Procedure, Partner Capacity Assessment Tool, Harmonized Approach to Cash Transfer, Private Sector Due Diligence, etc., if applicable), with clear plans stated to manage and mitigate each risk?

- 3: Project risks related to the achievement of results are fully described in the project risk register, based on comprehensive analysis drawing on the programme's theory of change, Social and Environmental Standards and screening, situation analysis, capacity assessments and other analysis such as funding potential and reputational risk. Risks have been identified through a consultative process with key internal and external stakeholders, including consultation with the UNDP Security Office as required. Clear and complete plan in place to manage and mitigate each risk, including security risks, reflected in project budgeting and monitoring plans. (both must be true)
- (selected) 2: Project risks related to the achievement of results are identified in the initial project risk register based on a minimum level of analysis and consultation, with mitigation measures identified for each risk.
- 1: Some risks may be identified in the initial project risk register, but no evidence of consultation or analysis and no clear risk mitigation measures identified. This option is also selected if risks are not clearly identified, no initial risk log is included with the project document and/or no security risk management process has taken place for the project.

*Note: Risk management must be done for criteria with score of 1.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

See Annex 5. UNDP Risk register

Efficient | Status: Complete | Quality Rating: Satisfactory

14. Have specific measures for ensuring cost-efficient use of resources been explicitly mentioned as part of the project design?

- (selected) Yes
- No

*Note: Risk management must be done when the response is “No”.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Yes

15. Is the budget justified and supported with valid estimates?

- 3: The project's budget is at the activity level with funding sources, and is specified for the duration of the project period in a multi-year budget. Realistic resource mobilisation plans are in place to fill unfunded components. Costs are supported with valid estimates using benchmarks from similar projects or activities. Cost implications from inflation and foreign exchange exposure have been estimated and incorporated in the budget. Adequate costs for monitoring, evaluation, communications and security have been incorporated.
- 2: The project's budget is at the activity level with funding sources, when possible, and is specified for the duration of the project in a multi-year budget, but no funding plan is in place. Costs are supported with valid estimates based on prevailing rates.
- 1: The project's budget is not specified at the activity level, and/or may not be captured in a multi-year budget.

*Note: Risk management must be done for criteria with score of 1.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

See ProDoc Annex IX, Total Budget and Work Plan and budget notes; all budget lines were established using UNDP norms.

16. Is the Country Office / Regional / Global Project fully recovering the costs involved with project implementation?

- 3: The budget fully covers all project costs that are attributable to the project, including programme management and development effectiveness services related to strategic country programme planning, quality assurance, pipeline development, policy advocacy services, finance, procurement, human resources, administration, issuance of contracts, security, travel, assets, general services, information and communications based on full costing in accordance with prevailing UNDP policies (i.e., UPL, LPL.)
- 2: The budget covers significant project costs that are attributable to the project based on prevailing UNDP policies (i.e., UPL, LPL) as relevant.
- 1: The budget does not adequately cover project costs that are attributable to the project, and UNDP is cross-subsidizing the project.

*Note: Risk management must be done for criteria with score of 1. The budget must be revised to fully reflect the costs of implementation before the project commences.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

The project budget (Annex IX) fully covers all costs associated with the project; costs of project oversight will be derived from GEF Agency Fee and are also 100% sufficient to cover these costs over the duration of the project.

Effective	Status: Complete	Quality Rating: Satisfactory

17. Have targeted groups, and particularly those marginalized, vulnerable, and left further behind (LNOB), been engaged in the design of the project?

- 3: Credible evidence that all targeted groups, prioritising discriminated, vulnerable and marginalized populations that will be involved in or affected by the project, have been actively engaged in the design of the project. The project has an explicit strategy to identify, engage and ensure the meaningful participation of target groups as stakeholders throughout the project, including through monitoring and decision-making (e.g., representation on the project board, inclusion in samples for evaluations, etc.)
- 2: Some evidence that key targeted groups have been consulted in the design of the project.
- 1: No evidence of engagement with targeted groups during project design.
- Not Applicable

*Note: Risk management must be done for criteria with score of 1.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

This is an enabling activity with no PPG phase, and no consultations were made during this phase. Nonetheless, section IV describes the process for stakeholder engagement that parties will promote during project implementation.

18. Does the project plan for adaptation and course correction if regular monitoring activities, evaluation, and lesson learned demonstrate there are better approaches to achieve the intended results and/or circumstances change during implementation?

- Yes
- No

*Note: Risk Management must be done when the response is "No".

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Yes all GEF-funded projects operate under an “adaptive management” principle that allows for the implementation of activities in response changes in circumstances during project implementation.

19. The gender marker for all project outputs are scored at GEN2 or GEN3, indicating that gender has been fully mainstreamed into all project outputs at a minimum.

- (selected) Yes
- No

*Note: Risk management must be done when the response is "No".

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Yes this project is GEN2 as stated in The ProDoc.

20. Have societal digital risks and opportunities been taken into account when designing the project's approach and have digital or data technology solutions been considered to enhance the efficiency, effectiveness and scalability of project results?

- 3: To the extent possible, societal digital risks and opportunities have been investigated when designing the strategy and Theory of Change, and the potential use of digital or data technologies in project activities has been considered in line with UNDP's digital standards and data principles. (All must be true)
- (selected) 2: Only the potential use of digital or data solutions in project activities has been considered in line with UNDP's digital standards and data principles, but there is no or limited evidence that aspects of inclusive digital societies have been considered in the design of the strategy or Theory of Change.
- 1: Neither societal digital risks and opportunities, nor digital or data technology solutions were specifically considered in the project design or, UNDP's digital standards and data principles are not taken into account when intending to use digital or data technology solutions in project activities.
- Digital considerations are not relevant to this project.

***Applicable only to option "Digital considerations are not relevant"**

- [ ] 1: Societal digital transformation is not a government or contextual priority
- [ ] 2: A non-digital approach yields higher effectiveness and efficiency
- [ ] 3: Other (specify in the "Evidence" section)

*Note: Risk management must be done for criteria with score of 1.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Yes

Sustainability & National Ownership	Status: Complete	Quality Rating: Highly Satisfactory

21. Have national / regional / global partners led, or proactively engaged in, the design of the country / regional / global project, respectively?

- 3: National / regional / global partners have full ownership of the country / regional / global project and led the process of the development of the project jointly with UNDP.
- (selected) 2: The project has been developed by UNDP in close consultation with national / regional / global partners.
- 1: The project has been developed by UNDP with limited or no engagement with national partners.

*Note: Risk management must be done for criteria with score of 1.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

This is an enabling activity with no PPG phase, and no national partners proactively engaged in the development of the ProDoc. Nonetheless, the proposal was developed in consultation with the GEF and UNDP's BIOFIN initiative.

22. Are key institutions and systems identified, and is there a strategy for strengthening specific / comprehensive capacities based on capacity assessments conducted?

- (selected) 3: The project has a strategy for strengthening specific capacities of national institutions and/or actors based on a completed capacity assessment. This strategy includes an approach to regularly monitor national capacities using clear indicators and rigorous methods of data collection, and adjust the strategy to strengthen national capacities accordingly.
- 2: A capacity assessment has been completed. There are plans to develop a strategy to strengthen specific capacities of national institutions and/or actors based on the results of the capacity assessment.
- 1: Capacity assessments have not been carried out
- Not Applicable

*Note: Risk management must be done for criteria with score of 1.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

The project includes a strategy to strengthen national capacities so that countries can develop national biodiversity finance plans needed to mobilize resources at scale to implement the Post-2020 Global Biodiversity Framework. The project includes clear indicators to monitor progress and impact of project activities.

23. Is there is a clear strategy embedded in the project specifying how the project will use national systems (i.e., procurement, monitoring, evaluations, etc.,) to the extent possible?

- (•) Yes
- ( ) No
- ( ) Not Applicable

*Note: Risk management must be done when the response is "No".

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

The project includes a Procurement Plan in the Annex section.

24. Is there a clear transition arrangement / phase-out plan developed with key stakeholders in order to sustain or scale up results (including resource mobilisation and communications strategy)?

- (•) Yes
- ( ) No

*Note: Risk management must be done when the response is "No".

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

The objective of the project is to develop national biodiversity finance plans to assist with the mobilization of resources. The project will take important steps towards ensuring that the National Biodiversity Strategies and Action Plans (NBSAPs) of the participating countries are adequately funded and perverse incentives that are harmful to biodiversity are identified. Subsequently, UNDP and GEF will support the update of NBSAPs with funding from the GEF. This is the transition plan to scale up results as stated in the ProDoc (see Section III. Strategy).